## PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

: Date: January 4, 2000

R. A. Knox et al;

: Examiner: not assigned

Application No.: not assigned

: Group Art Unit: not assigned

Filed: herewith

: IBM CORPORATION

For: WIRELESS CONNECTION FOR

PORTABLE SYSTEMS

: PSG Legal Dept. : Dept. 9CCA/002

: 3039 Cornwallis Road

: P.O. Box 12195

: Research Triangle Park, NC 27709

**Assistant Commissioner for Patents** Washington, D.C. 20231

## TRANSMITTAL OF CERTIFIED COPY

Attached please find the certified copy of the foreign application from which priority is claimed for this case:

Country: United Kingdom

Application Number: GB 9901378.1

Filing Date: January 23, 1999

Respectfully submitted,

Anthony N. Magistrale

Reg. No. 35,595

Telephone No. (919) 543-4198

I hereby certify that this document and or fee is being deposited with the United States Postal Service as EXPRESS Mail with sufficient postage in an envelope addressed to: Assistant Commissioner of Papents; Washington, DC 20231 on: January 4, 2000

EXPRESS MAIL NO. EJ340992072US

Amirah Scarborough
Name of person mailing document of fee

THIS PAGE BLANK (USPTO)





The Patent Office Concept House Cardiff Road Newport South Wales NP9 1RH



I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation and Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein together with the Statement of inventorship and of right to grant of a Patent (Form 7/77), which was subsequently filed.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely bubjects the company to certain additional company law rules.

Signed

Dated

17 MAR 1999

CERTIFIED COPY OF PRIORITY DOCUMENT



The Patent Office

7/77

Patents Act 1977 Rule 15

Statement of inventorship and of right to grant of a patent

The Patent Office

Concept House Cardiff Road Newport South Wales NP9 1RH

i.	Your reference	UK9-99-004	
2.	Patent application number (if you know it)	9901378.1	
3.	Full name of the or of each applicant	INTERNATIONAL BUSINESS MACHINES CORPORATION	
4.	Title of invention	WIRELESS CONNECTION FOR PORTABLE SYSTEMS	
5.	State how the applicant(s) derived the right from the inventor(s) to be granted a patent	By employment and by agreement	
6.	How many, if any, additional Patents Forms 7/17 are attached to this form?		
7.		I/We believe that the person(s) named over the page (and on any extr copies of this form) is/are the inventor(s) of the invention which the above patent application relates to.	
		C. 5. 29 Signature 27 January 1999 Date	
8.	Name and daytime telephone number of person to contact in the United Kingdom	C J Ling 01962 815212	

Enter Full names, addresses and postcodes of the inventors in the boxes and underline the surnames

Andrew Ramsay KNOX Garnock Lodge Milton road KILBIRNIE Ayrshire KA25 7JZ

635732100.

Patents ADP number (if known)

Robert Gordon HARPER Pinewood 29 Inch Marnock East Kilbride Glasgow G74 2JQ

7591076001

Patents ADP number (if known)

If there are more than three inventors, please write their names and addresses on the back of another Patents Form 7/77 and attach it to this form

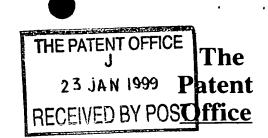
Andrew Liam MASSEY 61 Burnside Road LARGS Ayshire KA30 9BY

6959506002

Patents ADP number (if known)

### REMINDER

Have you signed the form?



25JAN99 E419845-1 I00611\_\_ P01/7700 0.00 - 9901378.1

Patents Act 1977 Rule 16

Request for grant of a patent

The Patent Office

Concept House Cardiff Road Newport South Wales NP9 1RH

۱.	Your reference	UK9-99-004			
2.	Patent application number (The Patent Office will fill in this part)	901378.1	23 JAN 1999		
3.	Full name, address and postcode of the or of each applicant (underline all surnames)	Armonk New York 10504 United States of America	ESS MACHINES CORPORATION		
	Patents ADP number (if you know it)	519637	001		
	If the applicant is a corporate body, give the country/state of its incorporation	State of New York United States of America			
4.	Title of the invention	WIRELESS CONNECTION	WIRELESS CONNECTION FOR PORTABLE SYSTEMS		
5.	Name of your agent (if you have one)	C J Ling			
	"Address for Service" in the United Kingdo to which all correspondence should be sent (including the postcode)	Intellectual Property Depart Hursley Park Winchester Hampshire SO21 21N	tment		
	Patents ADP number (if you know it)	700/6050	06/		
6.	If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country Priority Ap (if you know	•		
7.	If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application		Date of filing (daylmonthlyear)		

8	Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer Yes if:  a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body.)	Yes		
9.	Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document			
	Continuation sheets of this form			
	Description	7		
	Claim(s)	2 .		
	Abstract	1		
	Drawing(s)	2		
10.	If you are also filing any of the following, state how many against each item.			
	Priority documents			
	Translations of priority documents			
	Statement of inventorship and right to grant of a patent (Patents Form 7177)			
	Request for preliminary examination and search (Patents Form 9/77)	1		
	Request for substantive examination (Patents Form 10/77)			
	Any other documents (please specify)	Fee sheet (FS1)		
11.		I/We request the grant of a patent on the basis of this application		
		C. J. Z.		
		Signature	22 January 1999 Date	
12.	Name and daytime telephone number of person to contact in the United Kingdom	C J Ling 01962 815212		

UK9-99-004

5

10

15

20

25

30

35

40

45

# WIRELESS CONNECTION FOR PORTABLE SYSTEMS

### Field of the Invention

The present invention relates to a computer which can be used in a network of the type in which a plurality of client computer systems are connected to a server computer system, the connection being by wireless means.

### Background of the Invention

Currently portable computers may attach to data networks via a mobile phone separate from the portable computer. With such a connection arrangement, the user of the mobile phone is unable to use the mobile phone for voice whilst the portable computer is using the mobile phone for a data connection to the network. A further problem with this arrangement is that mobile phone coverage is limited in some geographic areas.

When a portable computer is being used within a house or in an office which does not have a local area network (LAN) connection available, in order to connect to a network, it is necessary to connect the portable computer via a modem and cable to a Public Switched Telephone Network (PSTN) socket located in the house. In many situations, the PSTN socket is not located close to the place where the portable computer is being used. If the portable computer is a mobile laptop or a handheld computer the user is confined to using the portable computer within the limits of the length of the cable connecting the portable computer and the PSTN socket. In both cases, the user does not have the freedom to site a portable computer wherever they choose.

A conventional solution to this problem is to use an adapter or PCMCIA modem and a connection to a Global Systems for Mobile communications (GSM) mobile telephone. This solution has several disadvantages. A GSM account and GSM mobile telephone and specialist external equipment is required to make the connection. Additionally, landlines are on average much cheaper than mobile GSM technology. Furthermore, coverage of the GSM mobile phone system is still not comprehensive, especially when such mobile phones are used indoors.

In a typical network environment, multiple client computer systems (clients) are connected to one or more server computer systems (servers). In a first arrangement, each client system includes an operating system, and optionally other software, stored on a mass storage device such as a hard disk drive within the client. Other application software e.g. word processing, database software etc, which may be held on local storage or

5

10

15

20

25

30

35

40

45

on remote storage associated with the server, is accessed as needed by the client.

US Patent 5548763, US Patent 5511202, US Patent 4997494 and US Patent 55513359 disclose a computer system having four power management states: a normal operating state, a standby state, a suspend state, and an off state. The normal operating state of the computer system is virtually identical to the normal operating state of any typical desktop computer. The second state, the standby state, uses less power than the normal operating state, yet leaves any applications executing as they would otherwise execute. The third state is the suspend state. In the suspend state, computer system consumes an extremely small amount of power. The fourth and final state is the off state. In this state, the power supply ceases providing regulated power to the computer system. The off state is virtually identical to typical desktop computers being turned off in the usual manner.

Waking a portable system from a phone ring signal is known. Typically, the portable computer is configured to resume operation from a suspend state to a normal operating state on receipt of a ring signal from an internal modem. The modem is placed into the lowest power mode where there is still enough intelligence to detect a telephone ring and awaken the system.

Theft of computers is widespread, particularly of portable computers because they are easily removed from buildings, cars and the like. Once the computer has been stolen, the original owner has lost the use of the computer and the person who has stolen the computer is able to use it freely, subject to there being no password protection for the system or to the password protection system being overcome. Typically, such password protection systems are added as an afterthought to a conventional system and so they may be overcome by an experienced thief.

So it would be desirable to provide a portable computer system which allowed a user to use a mobile telephone line at the same time as using the portable computer for access to a data network. It would also be desirable to allow a user to use a portable computer in a house or an office without the restriction of a cable connecting the portable computer to a telephone socket. It would further be desirable to provide a portable computer with the ability to be disabled in the event of theft without a physical connection to the computer being required.

## Disclosure of the Invention

Accordingly, the present invention provides a client computer system, suitable for connection to a data processing network having a controlling system, the controlling system being operable to issue a

5

10

15

20

25

30

35

40

45

wake-up request to the client computer system, the wake-up request being issued by means of a wireless connection between the controlling system and the client computer system, the client computer system, on receipt of the wake-up request, powering on so as to allow normal operation of the client computer system.

This provides the advantage that a user may connect to the portable system, even if it is located away from where the user is located.

Preferably, the wireless connection between the controlling system and the client computer system is a satellite data link. The use of such a satellite data link has the advantage that it is accessible from anywhere on earth or even in a low earth orbit.

In another embodiment, the wireless connection between the controlling system and the client computer system is a Digital Enhanced Cordless Telecommunications (DECT) link. The use of a DECT link has the advantage that a single base station can support up to eight remote devices. A DECT link is digital, rather than analog, meaning that security of transmission is improved and that there is no modulation or demodulation process. Additionally, there is no interference or crosstalk with such a link.

Preferably, the client computer system also provides a voice link simultaneously with a data link, the voice link and the data link using a single DECT link. This allows a user to be able to make a voice call at the same time as being connected to a network.

In a preferred embodiment, the wake-up request is a Wake-on-LAN frame and the client computer system includes a network interface card operable, on receipt of a Wake-on-LAN frame, to power-on the client computer system. This has the advantage of allowing the portable computer to be powered on remotely to allow access to its resources or to allow programs and/or the operating system to be updated without physical intervention at the portable computer.

In another embodiment, the controlling system is operable to issue a request to the client computer system to cease functioning, the client system comprising means for disabling the client computer system from further operation. This allows the portable computer to be disabled in the event that it is stolen.

The invention also provides a wireless network adapter for use in a client computer system, suitable for connection to a data processing network having a controlling system, the controlling system being operable to issue a wake-up request to the client computer system, the wake-up request being issued by means of a wireless connection between

the controlling system and the wireless network adapter of the client computer system, the client computer system, on receipt of the wake-up request, powering on so as to allow normal operation of the client computer system.

Brief Description of the Drawings

5

10

15

20

25

30

35

40

45

Embodiments of the invention will now be described, by way of example, with reference to the accompanying drawings, in which:

Figure 1 is a schematic representation of a computer network according to a preferred embodiment of the present invention;

Figure 2 is a block-diagrammatical representation of a client portable computer system connected to a server computer system in the network of Figure 1; and

Figure 3 shows the format of wake-up frame employed in the embodiment of the present invention.

## Detailed Description of the Invention

Referring firstly to figure 1, there is shown, in schematic form, a local area network (LAN) 10 in which a preferred embodiment of the invention is implemented. The network of figure 1, which may be constituted as an Ethernet or Token-ring LAN or other arrangement, comprises a server computer system 20 (which in the present embodiment may be an IBM PC 730 computer system) connected for communication by a link 26, in a loop configuration, with a plurality of client computer systems 32, 34, 36. The client computer systems may be personal computers based on the Intel X86 family of microprocessors or other forms of computer system including the Network Station from IBM. Each client system includes a LAN adapter card or network interface card (NIC) 40, 42, 44 to provide communication with the server computer over link 26. Server computer system 20 communicates through a wireless link 50 and an NIC 38 with client portable computer system 30. Optionally, the network includes one or more further server systems 22 and a console computer system 24 through which the network administrator controls the network.

Figure 2 is a simplified block diagram showing the connection of server computer system 20 to portable client system 30 over wireless communication link 50. The server computer system includes a keyboard 121 attached to a system unit 122 including a main CPU 123, system RAM 124, system ROM 125 and mass storage capability 126, typically in the form of multiple magnetic disk drives constituted in a RAID (redundant array of independent disks) arrangement. Stored on the disk drives is a variety of different software including operating system software and

application software for downloading to, and use by, the client systems. The server system may optionally include a display 127 (if the network administrator requires direct interaction with the server system) and other storage devices such as a diskette drive (not shown) and CD-ROM drive 129. Communication over the wireless link 50 is provided by input/output logic 128 which may take the form of an adapter card.

As is normal, the client system of figure 2, constituted in the present embodiment by a portable computer, includes a keyboard 131 and a display 132 (typically a liquid crystal display) operating under the control of control logic in the form of main CPU 133 which is connected by a system bus to system memory (RAM) 134 and non-volatile memory (ROM) 135, in which is stored system BIOS including POST code. The client system further includes a network adapter card 137 which, in the present embodiment, may be either an Ethernet or Token-Ring adapter card. This adapter card includes non-volatile memory in the form of ROM in which is stored code employed in providing communication between the client and server. The portable computer also includes mass storage capability 136 and a CD-ROM drive 138.

A first embodiment of the network adapter card 137 in the portable computer also includes a satellite data link "chip" such that the computer may be independent in terms of communication by using wireless communication implemented by means of the satellite data link chip. Current satellite data link systems are bulky, but with the inclusion of such a satellite data link system in a portable computer, the substantial battery of the portable computer may be used by the satellite data link system and the housing of the portable computer provides plenty of space for an antenna for the satellite data link system.

One application of the inclusion of a satellite data link in the portable computer is the provision of a "Wake-up on satellite" feature. The user can connect from a system located on a network to the portable computer even though the portable computer may be in a different location. The resources of the portable computer may be used from the system located on the network and the programs and/or the operating system on the portable computer may be updated from the system located on the network.

The present embodiment extends the use of the so-called "Wake-on-LAN" technology developed by IBM and Intel to become a "Wake-on-satellite". The "Wake-on-Lan" technology is designed to allow a server to wake-up a suitably enabled client system which is powered-off. In order to allow the client to be woken-up, the network adapter card in the client is Wake-on-LAN enabled in that, even when the client is turned off, the adapter card is supplied with power from the system power supply. Wake-on-LAN further defines a wake-up frame which is sent from

20

5

10

15

25

30

35

40

the server to the client. Details of Wake-on-LAN and of the format of the wake-up frame are disclosed in GB Patent Application 9716796.9 and will now be briefly described with reference to Figure 3. The wake-up frame comprises the following fields:

DA Destination Address: thi

10

15

20

25

30

35

40

45

Destination Address: this can be the specific address of a client system or can be a broadcast address;

SA Source Address: identifies the server sending the

frame;

Optional may include IP header;

F..F 6 bytes of FF hex for hardware sync, byte aligned;

Addr Media Access Control (MAC) address repeated consecutively 16 times

On receipt of a wake-up frame from the attached server 30 via the satellite data link, the adapter 137 analyzes it to determine whether the frame contains the portable computer's media access control (MAC) address. If the frame contains the MAC address, the wake-up control function on the portable computer motherboard signals the power supply to turn the client on, just as if the power switch had been turned on.

Another application of the inclusion of a satellite data link in the portable computer is the provision of a security feature enabling a user to disable the portable computer in the event of theft without the need any physical contact to be made with the computer.

For most users of portable computers, access to a communications and data network is a fundamental requirement. Presently, this requires a number of extra pieces of equipment, external to the computer, to connect to such a network. These pieces of equipment may include a wire connection to, for instance, a conventional telephone socket. This effectively tethers the portable computer and is at odds with the basic requirement of portability. Even if the portable computer is not tethered, the additional parts required to facilitate communications are cumbersome.

In a second embodiment of the network adapter card 137 in the portable computer, the network adapter card 137 also includes a Digital Enhanced Cordless Telecommunications (DECT) remote station. The inclusion of a DECT remote station means that the portable computer may be independent in terms of communication by using wireless communication to a PSTN socket implemented by means of the DECT link.

UK9-99-004

DECT allows a base station and several digital mobile telephones to connect to a single PSTN socket and telephone line via a base station. A portable computer according to this embodiment of the present invention enables the user to connect to a PSTN line via a single DECT remote station and a DECT base station, whilst a digital mobile phone meeting the DECT standard may also be used at the same time whilst connected to the same PSTN telephone line. This requires the use of a Digital Simultaneous Voice Data modem.

A portable computer is particularly suited to the inclusion of a DECT remote station because all of the requirements for the provision of a remote station such as power, display, memory and the like are readily available at no additional cost. A DECT baseband controller chip, such as, for example, a Philips PCD50912 chip needs to be added in order to obtain the function of a remote station. The standard DECT base station associated with the remote station does not have to be changed in any way, and would still offer all of its present services to both the personal computer with the remote station included in it and to the other digital mobile phones associated with the base station.

Additionally, with the inclusion of the remote station within the portable computer, the user is able to site the portable computer anywhere within around a 50 to 300 metre radius of the base station (300 metres is the current range of DECT base stations when used outdoors and 50 metres when used indoors). There is no need for the portable computer to have an external modem or cables attached to the portable computer. Although the invention has been described with reference to a portable computer, it is also applicable to a desktop or a floor standing computer. This will provide the advantage that no direct connection to a telephone socket is required.

UK9-99-004

5

10

15

20

25

30

35

40

45

#### CLAIMS

8

1. A client computer system, suitable for connection to a data processing network having a controlling system, the controlling system being operable to issue a wake-up request to the client computer system, the wake-up request being issued by means of a wireless connection between the controlling system and the client computer system, the client computer system, on receipt of the wake-up request, powering on so as to allow normal operation of the client computer system.

- 2. A client computer system as claimed in claim 1, wherein the wireless connection between the controlling system and the client computer system is a satellite data link.
- 3. A client computer system as claimed in claim 1, wherein the wireless connection between the controlling system and the client computer system is a Digital Enhanced Cordless Telecommunications (DECT) link.
- 4. A client computer system as claimed in claim 3, wherein the client computer system also provides a voice link simultaneously with a data link, the voice link and the data link using a single DECT link.
- 5. A client computer system as claimed in claim 1, wherein the wake-up request is a Wake-on-LAN frame and the client computer system includes a network interface card operable, on receipt of a Wake-on-LAN frame, to power-on the client computer system.
- 6. A client computer system as claimed in claim 1, wherein the controlling system is operable to issue a request to the client computer system to cease functioning, the client system comprising means for disabling the client computer system from further operation.
  - 7. A wireless network adapter for use in a client computer system, suitable for connection to a data processing network having a controlling system, the controlling system being operable to issue a wake-up request to the client computer system, the wake-up request being issued by means of a wireless connection between the controlling system and the wireless network adapter of the client computer system, the client computer system, on receipt of the wake-up request, powering on so as to allow normal operation of the client computer system.
    - 8. A wireless network adapter as claimed in claim 7, wherein the wireless connection between the controlling system and the wireless network adapter is a satellite data link.

- A wireless network adapter as claimed in claim 7, wherein the 9. wireless connection between the controlling system and the wireless network adapter is a Digital Enhanced Cordless Telecommunications (DECT) link.
- A wireless network adapter as claimed in claim 9, wherein the 10. wireless network adapter also provides a voice link simultaneously with a data link, the voice link and the data link using a single DECT link.
- A wireless network adapter as claimed in claim 7, wherein the wake-11. 10 up request is a Wake-on-LAN frame and the wireless network adapter is operable, on receipt of a Wake-on-LAN frame, to power-on the client computer system.
- A wireless network adapter as claimed in claim 7, wherein the 15 12. controlling system is operable to issue a request to the wireless network adapter to cease the client computer system to cease functioning, the client system comprising means for disabling the client computer system from further operation.

UK9-99-004

10

### **ABSTRACT**

### WIRELESS CONNECTION FOR PORTABLE SYSTEMS

5

A client computer system is suitable for connection to a data processing network having a controlling system. The controlling system is operable to issue a wake-up request to the client computer system. The wake-up request is issued by means of a wireless connection between the controlling system and the client computer system. The client computer system, on receipt of the wake-up request, powers itself on so as to allow normal operation of the client computer system.

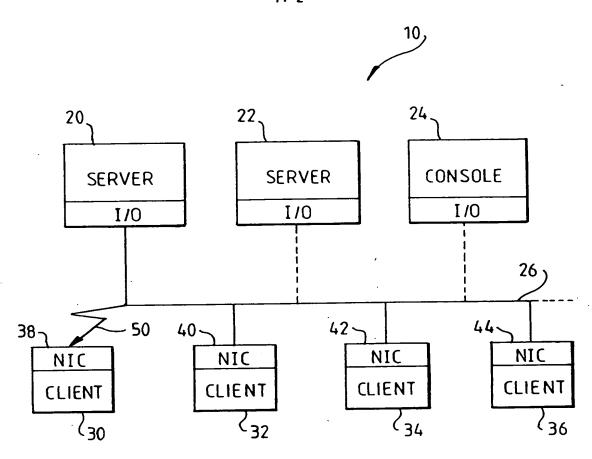


FIG. 1

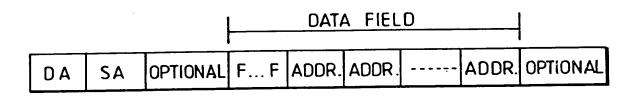
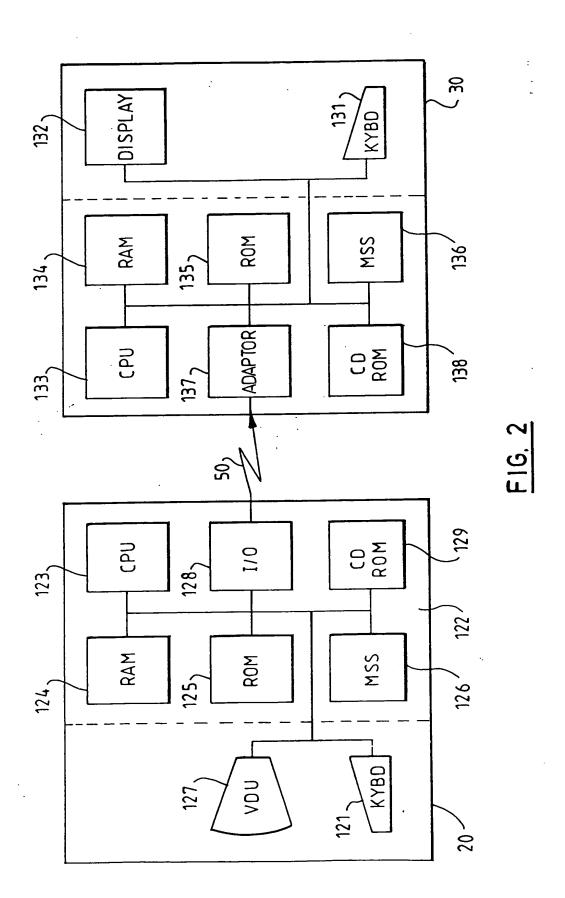


FIG. 3

THIS PAGE BLANK (USPTO)



THIS PAGE BLANK (USPTU)